



**SOG-IS Recognition Agreement
Management Committee
Certificate validity**

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Subject: Certificate validity

Problem definition

- 1 Common Criteria certificates have in the past been issued with unlimited validity period, unless they are withdrawn. Hence, there was no way for a common user, procurer, or regulator to estimate if a certified product was still suitable for use, especially for continuous use, in a specific context.
- 2 Indeed, both the intended environment of use and the attackers' know-how may evolve over time, possibly making a certified product unsuitable for use. It is though of particular importance, especially when the threat environment has evolved over time, that risk managers and approval bodies are able to estimate the appropriateness of a product in this new environment.
- 3 CCRA has approved a resolution, effective 1 June 2019, to limit the validity of mutually recognized CC certificates over time. This decision is also shared by SOG-IS.
- 4 This document provides information for vendors, risk managers and approval bodies on CC certificates validity. It also defines the minimum requirements to be implemented by SOG-IS member nations regarding the validity of SOG-IS recognized certificates. It does not preclude such nations from having further requirements in their implementation of Certificate Validity.

Certificate validity

- 5 A certificate states the assurance level reached by a product at the time it is issued. As the threat environment evolves over time, the resistance of the product to new attacks is not captured anymore by the certificate. In other words, certificates can only be considered technically valid at their time of issuance. Indeed, because the evolution of the state-of-the-art regarding attack methods cannot be predicted, there can be no time period associated to the technical validity of a certificate. It is the responsibility of users and risk owners to have a risk management process in place to decide on the use of a certified product and its operational environment.

- 6 Nevertheless, a certificate should come with a definite validity period. As stated before, validity here is not to be understood as technical validity, i.e. linked to the resistance of the product to attacks, but as administrative validity. Administrative validity is related to administrative tasks such as advertising of certificates on a CPL and archiving of evaluation evidence. A default lifespan of 5 years has been considered a good balance between certification bodies requirements and business requirements. This default lifespan may be refined at CCDB level for specific PPs.

Certificate archiving

- 7 Following the resolution by the SOG-IS to define a default validity period, certificates will be displayed no more than five years (or the corresponding specific period defined by the SOG-IS for any particular PP) on the national Certified Products Lists, unless their validity has been extended.
- 8 After their validity has expired, certificates will be moved to national 'Archived Certified Products' lists.
- 9 Archived certificates can no more be considered valid.
- 10 It should however be noted that the reference of a certificate in the national Certified Products Lists or national Archived Certified Products Lists does not say anything about the availability of the related product itself to potential new customers.

Assurance continuity

- 11 The validity of a certificate can be extended using the re-assessment process.
- 12 Re-assessment allows establishing updated trust in certified products, more precisely trust in their resistance to attacks, taking into account the latest state-of-the-art developments. Following a positive re-assessment, the validity of a certificate will be extended for a period of 5 years (or the corresponding specific period defined by the CCRA for any particular PP).
- 13 The re-assessment process is defined in the JIL-Assurance-continuity supporting document.

Validity declaration

- 14 The validity date shall be printed on the certificate or the certification/validation report (i.e. expiration date: <certification date plus x years>).

- 15 The certificate or certification/validation report shall make a reference to this procedure regarding the definition of certificate validity.
- 16 The national Certified Products List, on the CB website, shall clearly state the validity date of certificates.